

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-------------|----------------------|---------------------|------------------|
| 10/849,571 | 05/20/2004 | Weidong Zhu | 266923-000007USPT | 6579 |
| 70001 7590 03/02/2011 NIXON PEABODY, LLP 300 S. Riverside Plaza, 16th Floor | | | EXAMINER | |
| | | | NGHIEM, MICHAEL P | |
| CHICAGO, IL | 60606-6613 | | ART UNIT | PAPER NUMBER |
| | | | 2857 | |
| | | | | |
| | | | MAIL DATE | DELIVERY MODE |
| | | | 03/02/2011 | PAPER |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| O Santian Barahamani | 10/849,571 ZHU ET AL.0849 | | | | |
|---|--------------------------------|-------------------|--|--|--|
| Communication Re: Appeal | Examiner | Art Unit | | | |
| | Michael Nghiem | 2857 | | | |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address | | | | | |
| 1. The Notice of Appeal filed on 10 September 2010 is not acceptable because: | | | | | |
| (a) 🗵 it was not timely filed. | | | | | |
| (b) the statutory fee for filing the appeal was not submitted. See 37 CFR 41.20(b)(1). | | | | | |
| (c) the appeal fee received on was not timely filed. | | | | | |
| (d) the submitted fee of \$ is insufficient. The appeal fee required by 37 CFR 41.20(b)(1) is \$ | | | | | |
| (e) the appeal is not in compliance with 37 CFR 41.31(a)(1) in that no claim has been twice rejected. | | | | | |
| (f) a Notice of Allowability, PTO-37, was mailed by the Office on | | | | | |
| 2. The appeal brief filed on 12/10/10 is NOT acceptable for the reason(s) indicated below: | | | | | |
| (a) the brief and/or brief fee is untimely. See 37 CFR 41.37(a). | | | | | |
| (b) the statutory fee for filing the brief has not been submitted. See 37 CFR 41.20(b)(2). | | | | | |
| (c) the submitted brief fee of \$ is insufficient. The brief fee required by 37 CFR 41.20(b)(2) is \$ | | | | | |
| The appeal in this application will be dismissed unless corrective action is taken to timely submit the brief and requisite fee. See 37 CFR 41.37(a)(1). Extensions of time may be obtained under 37 CFR 1.136(a). See 37 CFR 41.37(e). | | | | | |
| 3. The appeal in this application is DISMISSED to | pecause: | | | | |
| (a) the statutory fee for filing the brief as required under 37 CFR 41.20(b)(2) was not timely submitted and the period for obtaining an extension of time to file the brief under 37 CFR 1.136(a) has expired. | | | | | |
| (b) the brief was not timely filed and the period for obtaining an extension of time to file the brief under 37 CFR 1.136(a) has expired. | | | | | |
| (c) a Request for Continued Examination (RCE) under 37 CFR 1.114 was filed on | | | | | |
| (d) | | | | | |
| 4. Because of the dismissal of the appeal, this application: | | | | | |
| | | | | | |
| (a) is abandoned because there are no allowed claims.(b) is before the examiner for final disposition because it contains allowed claims. Prosecution | | | | | |
| on the merits remains CLOSED. | n because a contains anowed th | aims. Troscoulion | | | |
| (c) is before the examiner for consideration. | | | | | |
| - | /SHARMALLA COATES/ | | | | |

Application No.

Applicant(s)

The notice of appeal filed on 9/10/10 and the appeal brief filed on 12/10/10 defective because applicant filed an amendment on 9/9/10 as a reply to the nonfinal Office action mailed on 3/9/10, and the examiner has not issue an Office action in response to the reply. Applicant may file a notice of appeal and appeal brief only if the application is under a rejection. Applicant could have filed the notice of appeal and appeal brief if he or she didn't file the reply to the nonfinal or wait until the examiner sends out another rejection